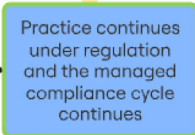
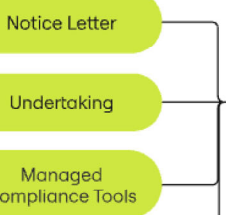
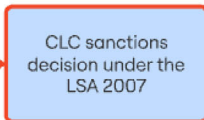
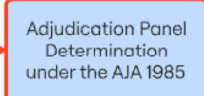


# Managed Compliance Enforcement Process

OUTSIDE OF REGULATION

DURING REGULATION

In parallel with managed compliance



Intervention results in practice being closed i.e. exclusion from CLC regulation

The sanction imposed at this point will determine whether the regulated practice continues under CLC regulation and returns to a routine cycle of managed compliance or exits regulation

Exits CLC Regulation

End of process

End of process

OUTSIDE OF REGULATION

